

includes whether the acquisition of the nonbanking company complies with the standards in section 4 of the BHC Act (12 U.S.C. 1843). Unless otherwise noted, nonbanking activities will be conducted throughout the United States.

Unless otherwise noted, comments regarding each of these applications must be received at the Reserve Bank indicated or the offices of the Board of Governors not later than November 21, 2016.

A. *Federal Reserve Bank of Philadelphia* (William Spaniel, Senior Vice President) 100 North 6th Street, Philadelphia, Pennsylvania 19105–1521. Comments can also be sent electronically to Comments.applications@phil.frb.org:

1. *Hamilton Bancorp, Inc.*, Ephrata, Pennsylvania; to become a bank holding company by acquiring Stonebridge Bank, West Chester, Pennsylvania.

B. *Federal Reserve Bank of Chicago* (Colette A. Fried, Assistant Vice President) 230 South LaSalle Street, Chicago, Illinois 60690–1414:

1. *BankFinancial Corporation*, Burr Ridge, Illinois; to become a bank holding company through the conversion of its federal savings bank subsidiary, BankFinancial, F.S.B., Olympia Fields, Illinois, into a national bank to be known as BankFinancial, National Association.

Board of Governors of the Federal Reserve System, October 21, 2016.

Margaret McCloskey Shanks,
Deputy Secretary of the Board.

[FR Doc. 2016–25891 Filed 10–25–16; 8:45 am]

BILLING CODE 6210–01–P

FEDERAL RESERVE SYSTEM

Change in Bank Control Notices; Acquisitions of Shares of a Bank or Bank Holding Company

The notificants listed below have applied under the Change in Bank Control Act (12 U.S.C. 1817(j)) and § 225.41 of the Board's Regulation Y (12 CFR 225.41) to acquire shares of a bank or bank holding company. The factors that are considered in acting on the notices are set forth in paragraph 7 of the Act (12 U.S.C. 1817(j)(7)).

The notices are available for immediate inspection at the Federal Reserve Bank indicated. The notices also will be available for inspection at the offices of the Board of Governors. Interested persons may express their views in writing to the Reserve Bank indicated for that notice or to the offices of the Board of Governors. Comments must be received not later than November 4, 2016.

A. *Federal Reserve Bank of Dallas* (Robert L. Triplett III, Senior Vice President) 2200 North Pearl Street, Dallas, Texas 75201–2272:

1. *Edward B. Tomlinson, II, Rowlett, Texas, individually and as a Voting Person under a Voting Agreement dated November 1, 2013 (the "Voting Agreement")*, *Charles S. Leis, Eagle, Idaho, individually and as a Voting Person under the Voting Agreement, and the following parties to the Voting Agreement, which constitutes a group of persons acting in concert: Sherry Wortham, Wills Point, Texas, Linda Tomlinson Mitchell, Gun Barrel City, Texas, Jeffrey S. Moore, Lisle, Illinois, Jeffrey Soulier, Kihei, Hawaii, Edward B. Tomlinson, II, Rowlett, Texas, Brad Wagenaar, Honolulu, Hawaii, Charles S. Leis, Eagle, Idaho, Stanley B. Leis, Eagle, Idaho, Stephen T. Leis, Kihei, Hawaii, James F. Bowen, Rowlett, Texas, H. Grady Chandler, Garland, Texas, Daniel R. Goodfellow, Wenatchee, Washington, J. Stephen Goodfellow, Wenatchee, Washington, The Revocable Trust of Dorvin D. Leis, Kahului, Hawaii (Edward B. Tomlinson, II, Charles S. Leis, and Stephen T. Leis as co-trustees), Samuel S. Aguirre Revocable Living Trust, Alen, Hawaii (Samuel S. Aguirre as trustee), Paul R. Botts Revocable Living Trust, Kailua, Hawaii (Paul R. Botts and Cheryl A. Botts as co-trustees), the Goodfellow Main Trust fbo Chad S. Goodfellow, Wenatchee, Washington (Chad S. Goodfellow as trustee), the Goodfellow Main Trust fbo Chelsea D. Goodfellow, Wenatchee, Washington (Chad S. Goodfellow as trustee), the Harvey C. King 1992 Revocable Living Trust, Kailua, Hawaii (Harvey C. King as trustee), the Roger MacArthur Revocable Living Trust, Wailuku, Hawaii (Roger MacArthur and Helen MacArthur as co-trustees), the William W. Wilmore Revocable Living Trust, Kahului, Hawaii (William W. Wilmore and Barbara K. Wilmore as co-trustees); to collectively control and retain 25 percent or more of the shares of Texas Brand Bancshares, Inc., and therefore indirectly, Texas Brand Bank, both of Garland, Texas.*

Board of Governors of the Federal Reserve System, October 21, 2016.

Margaret McCloskey Shanks,
Deputy Secretary of the Board.

[FR Doc. 2016–25892 Filed 10–25–16; 8:45 am]

BILLING CODE 6210–01–P

FEDERAL RETIREMENT THRIFT INVESTMENT BOARD

Sunshine Act; Notice of ETAC Member Meeting

TIME AND DATE: November 14, 2016, 1 p.m.–3 p.m.

PLACE: 77 K Street NE., Washington, DC 20002.

STATUS: Open.

MATTERS TO BE CONSIDERED:

Agenda

Employee Thrift Advisory Council

November 14, 2016, 1:00 p.m.–3:00 p.m. (In-Person), 77 K Street, NE., Washington, DC 20002.

1. Approval of the minutes of the May 23, 2016 Joint Board/ETAC meeting
2. Thrift Savings Plan Statistics
3. Target Architecture Plan
4. Blended Retirement System
5. 2017–2021 Strategic Plan
6. Post Separation Retention Rate
7. New Business

CONTACT PERSON FOR MORE INFORMATION:

Kimberly Weaver, Director, Office of External Affairs, (202) 942–1640.

Dated: October 24, 2016.

Megan Grumbine,

General Counsel, Federal Retirement Thrift Investment Board.

[FR Doc. 2016–25979 Filed 10–24–16; 4:15 pm]

BILLING CODE 6760–01–P

DEPARTMENT OF HEALTH AND HUMAN SERVICES

Centers for Medicare & Medicaid Services

[CMS–2401–N]

RIN 0938–ZB30

Medicaid Program; Final FY 2014 and Preliminary FY 2016 Disproportionate Share Hospital Allotments, and Final FY 2014 and Preliminary FY 2016 Institutions for Mental Diseases Disproportionate Share Hospital Limits

AGENCY: Centers for Medicare & Medicaid Services (CMS), HHS.

ACTION: Notice.

SUMMARY: This notice announces the final federal share disproportionate share hospital (DSH) allotments for federal fiscal year (FY) 2014 and the preliminary federal share DSH allotments for FY 2016, and corresponding limitations on aggregate state DSH payments to institutions for mental disease and other mental health facilities. In addition, this notice includes background information

describing the methodology for determining the amounts of states' FY DSH allotments.

DATES: Effective November 25, 2016. The final allotments and limitations set forth in this notice are effective for the fiscal years specified.

FOR FURTHER INFORMATION CONTACT: Stuart Goldstein, (410) 786-0694 and Richard Cuno, (410) 786-1111.

SUPPLEMENTARY INFORMATION:

I. Background

A. Fiscal Year DSH Allotments

A state's federal fiscal year (FY) disproportionate share hospital (DSH) allotment represents the aggregate limit on the federal share amount of the state's payments to DSH hospitals in the state for the FY. The amount of such allotment is determined in accordance with the provisions of section 1923(f)(3) of the Social Security Act (the Act). Under such provisions, in general a state's FY DSH allotment is calculated by increasing the amount of its DSH allotment for the preceding FY by the percentage change in the Consumer Price Index for all Urban Consumers (CPI-U) for the previous FY.

The Affordable Care Act amended Medicaid DSH provisions, adding section 1923(f)(7) of the Act which would have required reductions to states' FY DSH allotments beginning with FY 2014, the calculation of which was described in the Disproportionate Share Hospital Payment Reduction final rule published in the September 18, 2013 **Federal Register** (78 FR 57293). Under the DSH reduction methodology, first, each state's unreduced FY DSH allotment would have been calculated in accordance with the provisions of section 1923(f) of the Act, excluding section 1923(f)(7) of the Act; then, the reduction amount for each state would have been determined under the provisions of section 1923(f)(7) of the Act and implementing regulations at 42 CFR 447.294; and, finally, the net FY DSH allotment for each state would have been determined by subtracting the DSH reduction amount for the state from its unreduced FY 2014 DSH allotment.

The reductions under section 1923(f)(7) of the Act were delayed and modified by section 1204 of Division B (Medicare and Other Health Provisions) of the Pathway for SGR Reform Act of 2013 (Pub. L. 113-67 enacted on December 26, 2013). The reductions of states' fiscal year DSH allotments under section 1923(f)(7) of the Act that were applicable to FY 2014 and 2015 were repealed, and the FY 2016 reductions were increased. Subsequently, the

reductions were delayed and modified by the Medicare Access and CHIP Reauthorization Act of 2015 (Pub. L. 114-10 enacted on April 16, 2015) (MACRA). The reductions of states' fiscal year DSH allotments under section 1923(f)(7) of the Act that were applicable to FY 2017 were repealed and are instead scheduled to begin in FY 2018.

Because there are no reductions to DSH allotments for FY 2014 and FY 2016 under section 1923(f)(7) of the Act, this notice contains only the state-specific final FY 2014 DSH allotments and preliminary FY 2016 DSH allotments, as calculated under the statute without application of the reductions that would have been imposed under the Affordable Care Act provisions beginning with FY 2014. This notice also provides information on the calculation of such FY DSH allotments, the calculation of the states' institutions for mental disease (IMD) DSH limits, and the amounts of states' final FY 2014 IMD DSH limits and preliminary FY 2016 IMD DSH limits.

B. Determination of Fiscal Year DSH Allotments

Generally, in accordance with the methodology specified under section 1923(f)(3) of the Act, a state's FY DSH allotment is calculated by increasing the amount of its DSH allotment for the preceding FY by the percentage change in the CPI-U for the previous FY. Also in accordance with section 1923(f)(3) of the Act, a state's DSH allotment for a FY is subject to the limitation that an increase to a state's DSH allotment for a FY cannot result in the DSH allotment exceeding the greater of the state's DSH allotment for the previous FY or 12 percent of the state's total medical assistance expenditures for the allotment year (this is referred to as the 12 percent limit).

Furthermore, under section 1923(h) of the Act, federal financial participation (FFP) for DSH payments to IMDs and other mental health facilities is limited to state-specific aggregate amounts. Under this provision, the aggregate limit for DSH payments to IMDs and other mental health facilities is the lesser of a state's FY 1995 total computable (state and federal share) IMD and other mental health facility DSH expenditures applicable to the state's FY 1995 DSH allotment (as reported on the Form CMS-64 as of January 1, 1997), or the amount equal to the product of the state's current year total computable DSH allotment and the applicable percentage specified in section 1902(h) of the Act (the applicable percentage is

the IMD share of DSH total computable expenditures as of FY 1995).

In general, we determine states' DSH allotments for a FY and the IMD DSH limits for the same FY using the most recent available estimates of or actual medical assistance expenditures, including DSH expenditures in their Medicaid programs and the most recent available change in the CPI-U used for the FY in accordance with the methodology prescribed in the statute. The indicated estimated or actual expenditures are obtained from states for each relevant FY from the most recent available quarterly Medicaid budget reports (Form CMS-37) or quarterly Medicaid expenditure reports (Form CMS-64), respectively, submitted by the states. For example, as part of the initial determination of a state's FY DSH allotment (referred to as the preliminary DSH allotments) that is determined before the beginning of the FY for which the DSH allotments and IMD DSH limits are being determined, we use estimated expenditures for the FY obtained from the August submission of the CMS-37 submitted by states prior to the beginning of the FY; such estimated expenditures are subject to update and revision during the FY before such actual expenditure data become available. We also use the most recent available estimated CPI-U percentage change that is available before the beginning of the FY for determining the states' preliminary FY DSH allotments; such estimated CPI-U percentage change is subject to update and revision during the FY before the actual CPI-U percentage change becomes available. In determining the final DSH allotments and IMD DSH limits for a FY we use the actual expenditures for the FY and actual CPI-U percentage change for the previous FY.

II. Provisions of the Notice

A. Calculation of the Final FY 2014 Federal Share State DSH Allotments, and the Preliminary FY 2016 Federal Share State DSH Allotments

1. Final FY 2014 Federal Share State DSH Allotments

Addendum 1 to this notice provides the states' final FY 2014 DSH allotments determined in accordance with section 1923(f)(3) of the Act. As described in the background section, in general, the DSH allotment for a FY is calculated by increasing the FY DSH allotment for the preceding FY by the CPI-U increase for the previous fiscal year. For purposes of calculating the states' final FY 2014 DSH allotments, the preceding final fiscal year DSH allotments (for FY 2013) were published in the February 2, 2016

Federal Register (81 FR 5448). For purposes of calculating the states' final FY 2014 DSH allotments we are using the actual Medicaid expenditures for FY 2014. Finally, for purposes of calculating the states' final FY 2014 DSH allotments, the applicable historical percentage change in the CPI-U for the previous FY (FY 2013) was 1.6 percent; we note that this is an increase from the estimated 1.5 percentage change in the CPI-U for FY 2013 that was available and used in the calculation of the preliminary FY 2014 DSH allotments which were published in the February 28, 2014 **Federal Register** (79 FR 11436).

2. Calculation of the Preliminary FY 2016 Federal Share State DSH Allotments

Addendum 2 to this notice provides the preliminary FY 2016 DSH allotments determined in accordance with section 1923(f)(3) of the Act. The preliminary FY 2016 DSH allotments contained in this notice were determined based on the most recent available estimates from states of their FY 2016 total computable Medicaid expenditures. Also, the preliminary FY 2016 allotments contained in this notice were determined by increasing the preliminary FY 2015 DSH allotments as contained in the notice published in the February 2, 2016 **Federal Register** (81 FR 5448) by 0.3 percent, representing the most recent available estimate of the percentage increase in the CPI-U for FY 2015 (the previous FY to FY 2016).

We will publish states' final FY 2016 DSH allotments in future notices based on the states' four quarterly Medicaid expenditure reports (Form CMS-64) for FY 2016 available following the end of FY 2016 and the actual change in the CPI-U for FY 2015.

B. Calculation of the Final FY 2014 and Preliminary FY 2016 IMD DSH Limits

Section 1923(h) of the Act specifies the methodology to be used to establish the limits on the amount of DSH payments that a state can make to IMDs and other mental health facilities. FFP is not available for IMD or DSH payments that exceed the IMD limits. In this notice, we are publishing the final FY 2014 and the preliminary FY 2016 IMD DSH limits determined in accordance with the provisions discussed above.

Addendums 3 and 4 to this notice detail each state's final FY 2014 and preliminary FY 2016 IMD DSH limit, respectively, determined in accordance with section 1923(h) of the Act.

III. Collection of Information Requirements

This notice does not impose any new or revised information collection or recordkeeping requirements or burden. While discussed in section I.B. of this preamble and in Addendums 3 and 4, the requirements and burden associated with Form CMS-37 (OMB control number 0938-0101) and Form CMS-64 (OMB control number 0938-0067) are unaffected by this notice. Consequently, this notice, CMS-37, and CMS-64 are not subject to Office of Management and Budget review under the authority of the Paperwork Reduction Act of 1995 (44 U.S.C. 3501 *et seq.*).

IV. Regulatory Impact Analysis

We have examined the impact of this notice as required by Executive Order 12866 on Regulatory Planning and Review (September 1993), the Regulatory Flexibility Act (RFA) (September 19, 1980, Pub. L. 96-354), section 1102(b) of the Act, section 202 of the Unfunded Mandates Reform Act of 1995 (March 22, 1995; Pub. L. 104-4), Executive Order 13132 on Federalism (August 4, 1999) and the Congressional Review Act (5 U.S.C. 804(2)).

Executive Order 12866 directs agencies to assess all costs and benefits of available regulatory alternatives and, if regulation is necessary, to select regulatory approaches that maximize net benefits (including potential economic, environmental, public health and safety effects, distributive impacts, and equity). A regulatory impact analysis (RIA) must be prepared for major rules with economically significant effects (\$100 million or more in any 1 year). This notice reaches the \$100 million economic threshold and thus is considered a major rule under the Congressional Review Act.

The final FY 2014 DSH allotments being published in this notice are approximately \$11 million more than the preliminary FY 2014 DSH allotments published in the February 28, 2014 **Federal Register** (79 FR 11436). The increase in the final FY 2014 DSH allotments is due to the difference between the actual percentage change in the CPI-U for FY 2013 used in the calculation of the final FY 2014 allotments (1.6 percent) as compared to the estimated percentage change in the CPI-U for FY 2013 used in the calculation of the preliminary FY 2014 allotments (1.5 percent). The final FY 2014 IMD DSH limits being published in this notice are approximately \$563 thousand more than the preliminary FY 2014 IMD DSH

limits published in the February 28, 2014 **Federal Register** (79 FR 11436). The increases in the IMD DSH limits are because the DSH allotment for a FY is a factor in the determination of the IMD DSH limit for the FY. Since the final FY 2014 DSH allotments were increased as compared to the preliminary FY 2014 DSH allotments, the associated FY 2014 IMD DSH limits for some states were also increased.

The preliminary FY 2016 DSH allotments being published in this notice are about \$36 million more than the preliminary FY 2015 DSH allotments published in the February 2, 2016 **Federal Register** (81 FR 5448). The increase in the DSH allotments is due to the application of the statutory formula for calculating DSH allotments under which the prior fiscal year allotments are increased by the percentage increase in the CPI-U for the prior fiscal year. The preliminary FY 2016 IMD DSH limits being published in this notice are about \$260 thousand less than the final FY 2014 IMD DSH limits published in the February 28, 2014 **Federal Register** (79 FR 11436). Although the DSH allotment for a FY is a factor in the determination of the IMD DSH limit for the FY and the preliminary FY 2016 DSH allotments are greater than the preliminary FY 2015 DSH allotments, the associated preliminary FY 2016 IMD DSH limits for some states decreased. This is attributable to a decrease in the FMAP rates for certain states.

The RFA requires agencies to analyze options for regulatory relief of small businesses, if a rule has a significant impact on a substantial number of small entities. For purposes of the RFA, small entities include small businesses, nonprofit organizations, and small governmental jurisdictions. Most hospitals and most other providers and suppliers are small entities, either by nonprofit status or by having revenues of less than \$7.0 million to \$34.5 million in any one year. Individuals and states are not included in the definition of a small entity. We are not preparing an analysis for the RFA because the Secretary has determined that this notice will not have significant economic impact on a substantial number of small entities. Specifically, any impact on providers is due to the effect of the various controlling statutes; providers are not impacted as a result of the independent regulatory action in publishing this notice. The purpose of the notice is to announce the latest distributions as required by the statute.

In addition, section 1102(b) of the Act requires us to prepare a regulatory impact analysis if a rule may have a significant impact on the operations of

a substantial number of small rural hospitals. This analysis must conform to the provisions of section 604 of the RFA. For purposes of section 1102(b) of the Act, we define a small rural hospital as a hospital that is located outside of a Core-Based Statistical Area for Medicaid payment regulations and has fewer than 100 beds. We are not preparing analysis for section 1102(b) of the Act because the Secretary has determined that this notice will not have a significant impact on the operations of a substantial number of small rural hospitals.

The Medicaid statute specifies the methodology for determining the amounts of states' DSH allotments and IMD DSH limits; and as described previously, the application of the methodology specified in statute results in the decreases or increases in states' DSH allotments and IMD DSH limits for the applicable FYs. The statute applicable to these allotments and limits does not apply to the determination of the amounts of DSH payments made to specific DSH hospitals; rather, these allotments and limits represent an

overall limit on the total of such DSH payments. In this regard, we do not believe that this notice will have a significant economic impact on a substantial number of small entities.

Section 202 of the Unfunded Mandates Reform Act of 1995 also requires that agencies assess anticipated costs and benefits before issuing any rule whose mandates require spending in any 1 year of \$100 million in 1995 dollars, updated annually for inflation. In 2016, that threshold is approximately \$146 million. This notice will have no consequential effect on state, local, or tribal governments, in the aggregate, or on the private sector.

Executive Order 13132 establishes certain requirements that an agency must meet when it promulgates a proposed rule (and subsequent final rule) that imposes substantial direct requirement costs on state and local governments, preempts state law, or otherwise has Federalism implications. Since this notice does not impose any costs on state or local governments, the requirements of E.O. 13132 are not applicable.

A. Alternatives Considered

The methodologies for determining the states' fiscal year DSH allotments and IMD DSH Limits, as reflected in this notice, were established in accordance with the methodologies and formula for determining states' allotments as specified in statute. This notice does not put forward any further discretionary administrative policies for determining such allotments.

B. Accounting Statement

As required by OMB Circular A-4 (available at <http://www.whitehouse.gov/omb/circulars/a004/a-4.pdf>), in the Table 1, we have prepared an accounting statement showing the classification of the estimated expenditures associated with the provisions of this notice. Table 1 provides our best estimate of the change (decrease) in the federal share of states' Medicaid DSH payments resulting from the application of the provisions of the Medicaid statute relating to the calculation of states' FY DSH allotments and the increase in the FY DSH allotments from FY 2015 to FY 2016.

TABLE 1—ACCOUNTING STATEMENT: CLASSIFICATION OF ESTIMATED EXPENDITURES, FROM THE FY 2015 TO FY 2016
[In millions]

Category	Transfers
Annualized Monetized Transfers	\$36.
From Whom To Whom?	Federal Government to States.

C. Congressional Review Act

This proposed regulation is subject to the Congressional Review Act provisions of the Small Business Regulatory Enforcement Fairness Act of 1996 (5 U.S.C. 801 *et seq.*) and has been transmitted to the Congress and the Comptroller General for review.

In accordance with the provisions of Executive Order 12866, this notice was reviewed by the Office of Management and Budget.

Dated: September 8, 2016.

Andrew M. Slavitt,
Acting Administrator, Centers for Medicare & Medicaid Services.

Dated: October 13, 2016.

Sylvia Burwell,
Secretary, Department of Health and Human Services.

KEY TO ADDENDUM 1—FINAL DSH ALLOTMENTS FOR FY 2014

[The Final FY 2014 DSH Allotments for the NON-Low DSH States are presented in the top section of this addendum, and the Final FY 2014 DSH Allotments for the Low-DSH States are presented in the bottom section of this addendum]

Column	Description
Column A	State.
Column B	FY 2014 FMAPs. This column contains the States' FY 2014 Federal Medical Assistance Percentages.
Column C	Prior FY (2013) DSH Allotments. This column contains the States' prior FY 2013 DSH Allotments.
Column D	Prior FY (2013) DSH Allotments (Col C) x (100 percent + Percentage Increase in CPIU): 101.6 percent. This column contains the amount in Column C increased by 1 plus the percentage increase in the CPI-U for the prior FY (101.6 percent).
Column E	FY 2014 TC MAP Exp. Including DSH. This column contains the amount of the States' FY 2014 total computable (TC) medical assistance expenditures including DSH expenditures.
Column F	FY 2014 TC DSH Expenditures. This column contains the amount of the States' FY 2014 total computable DSH expenditures.
Column G	FY 2014 TC MAP Exp. Net of DSH. This column contains the amount of the States' FY 2014 total computable medical assistance expenditures net of DSH expenditures, calculated as the amount in Column E minus the amount in Column F.
Column H	12 percent Amount. This column contains the amount of the "12 percent limit" in Federal share, determined in accordance with the provisions of section 1923(f)(3) of the Act.
Column I	Greater of FY 2013 Allotment or 12 percent Limit. This column contains the greater of the State's prior FY (FY 2013) DSH allotment or the amount of the 12 percent Limit, determined as the maximum of the amount in Column C or Column H.
Column J	FY 2014 DSH Allotment. This column contains the States' final FY 2014 DSH allotments, determined as the minimum of the amount in Column I or Column D. For states with "na" in Columns I or D, refer to the footnotes in the addendum.

ADDENDUM 1—FINAL DSH ALLOTMENTS FOR FISCAL YEAR: 2014

Table with columns: State (A), FY 2014 FMAPs (%) (B), Prior FY (2013) DSH Allotments (C), Prior FY (2013) DSH allotment (Col.C) x 100% + pct increase in CPIU: 101.6% (D), FY 2014 TC MAP Exp. including DSH (E), FY 2014 TC DSH expenditures (F), FY 2014 TC MAP EXP. net of DSH Col E-F (G), "12% Amount" = Col G x .12 / (1-.12/Col B)* (in FS) (H), Greater of Col H or Col C (12% Limit, FY 2012 allotment) (I), FY 2014 DSH allotment MIN Col I, Col D (J)

LOW DSH STATES

Table with columns: State, FY 2014 FMAPs (%) (B), Prior FY (2013) DSH Allotments (C), Prior FY (2013) DSH allotment (Col.C) x 100% + pct increase in CPIU: 101.6% (D), FY 2014 TC MAP Exp. including DSH (E), FY 2014 TC DSH expenditures (F), FY 2014 TC MAP EXP. net of DSH Col E-F (G), "12% Amount" = Col G x .12 / (1-.12/Col B)* (in FS) (H), Greater of Col H or Col C (12% Limit, FY 2012 allotment) (I), FY 2014 DSH allotment MIN Col I, Col D (J)

WYOMING	50.00	237,807	241,612	539,403,281	466,255	538,937,026	85,095,320	85,095,320	241,612
TOTAL LOW DSH STATES	0.00	514,096,763	522,322,311	55,472,595,837	410,290,985	55,062,304,852	8,304,838,060	8,304,838,060	522,322,313
TOTAL	0.00	10,758,733,966	10,930,873,709	451,956,973,427	16,970,288,042	434,986,685,385	66,598,602,843	66,598,602,843	11,662,833,710

¹ FY 2014 DSH allotment for Louisiana determined under the provisions of section 1903(f)(3)(C) and (D) of the Act.

² Tennessee's DSH allotment for FY 2014, determined under section 1923(f)(6)(A) of the Social Security Act, is 0.

³ Per 1905(2)(1)(A) of Act, Vermont's regular FMAP is increased by 2.2 percentage points for the period 1/1/2014—12/31/2015.

KEY TO ADDENDUM 2—PRELIMINARY DSH ALLOTMENTS FOR FY 2016

[The Preliminary FY 2016 DSH Allotments for the NON-Low DSH States are presented in the top section of this addendum, and the Preliminary FY 2016 DSH Allotments for the Low-DSH States are presented in the bottom section of this addendum]

Column	Description
Column A	State.
Column B	FY 2016 FMAPs. This column contains the States' FY 2016 Federal Medical Assistance Percentages.
Column C	Prior FY (2015) DSH Allotments. This column contains the States' prior FY 2015 DSH Allotments.
Column D	Prior FY (2015) DSH Allotments (Col C) x (100percent + Percentage Increase in CPIU): 100.3percent. This column contains the amount in Column C increased by 1 plus the estimated percentage increase in the CPI-U for the prior FY (100.3 percent).
Column E	FY 2016 TC MAP Exp. Including DSH. This column contains the amount of the States' projected FY 2016 total computable (TC) medical assistance expenditures including DSH expenditures.
Column F	FY 2016 TC DSH Expenditures. This column contains the amount of the States' projected FY 2016 total computable DSH expenditures.
Column G	FY 2016 TC MAP Exp. Net of DSH. This column contains the amount of the States' projected FY 2016 total computable medical assistance expenditures net of DSH expenditures, calculated as the amount in Column E minus the amount in Column F.
Column H	12 percent Amount. This column contains the amount of the "12 percent limit" in Federal share, determined in accordance with the provisions of section 1923(f)(3) of the Act.
Column I	Greater of FY 2015 Allotment or 12 percent Limit. This column contains the greater of the State's prior FY (FY 2015) DSH allotment or the amount of the 12 percent Limit, determined as the maximum of the amount in Column C or Column H.
Column J	FY 2016 DSH Allotment. This column contains the States' preliminary FY 2016 DSH allotments, determined as the minimum of the amount in Column I or Column D. For states with "na" in Columns I or D, refer to the footnotes in the addendum.

ADDENDUM 2—PRELIMINARY DSH ALLOTMENTS FOR FISCAL YEAR: 2016—Continued

State	FY 2016 FMAPs (%)	Prior FY (2015) DSH Allotments	Prior FY (2015) DSH allotment (Col O) × 100% + pct increase in CPIU: 100.3%	FY 2016 TC MAP Exp. including DSH	FY 2016 TC DSH expenditures	FY 2016 TC MAP EXP. net of DSH Col E-F	"12% Amount" = Col G × .12 / (1-.12/Col B) (in FS)	Greater of Col H or Col C (12% Limit, FY 2015 Allotment)	FY 2016 DSH Allotment MIN Col I, Col D
A	B	C	D	E	F	G	H	I	J
WYOMING	50.00	245,236	245,972	608,180,000	482,000	607,698,000	95,952,316	95,952,316	245,972
TOTAL LOW DSH STATES	530,157,145	531,747,616	70,078,344,000	749,947,000	69,328,397,000	10,468,678,931	10,468,678,931	531,747,618
TOTAL	11,838,508,175	11,874,023,700	592,926,113,000	19,095,450,000	573,830,663,000	87,994,996,285	87,994,996,285	11,927,123,698

¹ Tennessee's DSH allotment for FY 2016 determined under section 1923(f)(6)(A) of the Act

² FMAP for Vermont for FY 2016 determined in accordance with section 1905(z)(1)(A) of the Act.

KEY TO ADDENDUM 3—FINAL IMD DSH LIMITS FOR FY 2014

[The final FY 2014 IMD DSH Limits for the Non-Low DSH States are presented in the top section of this addendum and the preliminary FY 2014 IMD DSH Limits for the Low-DSH States are presented in the bottom section of the addendum]

Column	Description
Column A	State.
Column B	Inpatient Hospital Services FY 95 DSH Total Computable. This column contains the States' total computable FY 1995 inpatient hospital DSH expenditures as reported on the Form CMS-64.
Column C	IMD and Mental Health Services FY 95 DSH Total Computable. This column contains the total computable FY 1995 mental health facility DSH expenditures as reported on the Form CMS-64 as of January 1, 1997.
Column D	Total Inpatient Hospital & IMD & Mental Health FY 95 DSH Total Computable, Col. B + C. This column contains the total computation of all inpatient hospital DSH expenditures and mental health facility DSH expenditures for FY 1995 as reported on the Form CMS-64 as of January 1, 1997 (representing the sum of Column B and Column C).
Column E	Applicable Percentage, Col. C/D. This column contains the "applicable percentage" representing the total Computable FY 1995 mental health facility DSH expenditures divided by total computable all inpatient hospital and mental health facility DSH expenditures for FY 1995 (the amount in Column C divided by the amount in Column D) Per section 1923(h)(2)(A)(ii)(III) of the Act, for FYs after FY 2002, the applicable percentage can be no greater than 33 percent.
Column F	FY 2014 Federal Share DSH Allotment. This column contains the states' FY 2014 DSH allotments from Column J Addendum 1.
Column G	FY 2014 FMAP.
Column H	FY 2014 DSH Allotments in Total Computable, Col. F/G. This column contains states' FY 2013 total computable DSH allotment (determined as Column F/Column G).
Column I	Applicable Percentage Applied to FY 2014 Allotments in TC, Col E x Col H. This column contains the applicable percentage of FY 2013 total computable DSH allotment (calculated as the percentage in Column E multiplied by the amount in Column H).
Column J	FY 2014 TC IMD DSH Limit. Lesser of Col. I or C. This column contains the total computable FY 2014 TC IMD DSH Limit equal to the lesser of the amount in Column I or Column C.
Column K	FY 2014 IMD DSH Limit in Federal Share, Col. G x J. This column contains the FY 2014 Federal Share IMD DSH limit determined by converting the total computable FY 2014 IMD DSH Limit from Column J into a federal share amount by multiplying it by the FY 2014 FMAP in Column G.

ADDENDUM 3—FINAL IMD DSH LIMIT FOR FY: 2014

STATE	A	B	C	D	E	F	G	H	I	J	K	L
	Inpatient hospital services FY 95 DSH total computable	IMD and mental health services FY 95 DSH total computable	Total inpatient & IMD & mental health FY 95 DSH total computable Col B + C	Applicable percent Col C/D	FY 2014 allotment in FS	FY 2014 FMA Ps (%)	FY 2014 allotments in col F/G	Applicable percentage applied to FY 2013 allotments in TC Col E x Col H	FY 2014 TC IMD limit (lessor of Col I or Col C)	FY 2014 IMD limit in FS Col G x J	MMA low DSH Status	
ALABAMA	\$413,006,229	\$4,451,770	\$417,457,999	1.07	\$328,262,759	68.12	\$481,888,959	\$5,138,861	\$4,451,770	\$3,032,546	N/A	
ALABAMA	93,916,100	28,474,900	122,391,000	23.27	108,086,519	67.23	160,771,261	37,404,267	28,474,900	19,143,675	N/A	
ALABAMA	2,189,879,543	1,555,919	2,191,435,462	0.07	1,170,270,080	50.00	2,340,540,160	1,661,783	1,555,919	777,960	N/A	
ALABAMA	173,900,441	594,776	174,495,217	0.34	98,745,708	50.00	197,491,416	673,160	594,776	297,388	N/A	
ALABAMA	303,359,275	105,573,725	408,933,000	25.82	213,504,233	50.00	427,008,466	110,240,246	105,573,725	52,786,863	N/A	
ALABAMA	39,532,234	6,545,136	46,077,370	14.20	65,385,671	70.00	93,408,101	13,268,308	6,545,136	4,581,595	N/A	
ALABAMA	184,468,014	149,714,986	334,183,000	33.00	213,504,233	58.79	363,164,200	119,844,186	119,844,186	70,456,397	N/A	
ALABAMA	407,343,557	0	407,343,557	0.00	286,896,314	65.93	435,152,911	0	0	0	N/A	
ALABAMA	315,868,508	89,408,276	405,276,784	22.06	229,517,051	50.00	459,034,102	101,267,700	89,408,276	44,704,138	N/A	
ALABAMA	79,960,783	153,566,302	233,527,085	33.00	228,182,651	66.92	340,978,259	112,522,826	112,522,826	75,300,275	N/A	
ALABAMA	11,587,208	76,663,508	88,250,716	33.00	44,035,248	56.91	77,376,995	25,534,408	25,534,408	14,531,632	N/A	
ALABAMA	158,804,908	37,443,073	196,247,981	19.08	154,790,570	69.83	221,667,722	42,293,025	37,443,073	26,146,498	N/A	
ALABAMA	1,078,512,169	132,917,149	1,211,429,318	10.97	731,960,000	62.11	1,178,489,776	129,303,046	129,303,046	80,310,122	N/A	
ALABAMA	99,957,958	60,958,342	160,916,300	33.00	112,089,722	61.55	182,111,652	60,096,845	60,096,845	36,989,608	N/A	
ALABAMA	22,226,467	120,873,531	143,099,998	33.00	81,398,489	50.00	162,796,978	53,723,003	53,723,003	26,861,501	N/A	
ALABAMA	469,653,946	105,635,054	575,289,000	18.36	325,593,956	50.00	651,187,912	119,571,677	105,635,054	52,817,527	N/A	
ALABAMA	133,258,800	304,765,552	438,024,352	33.00	282,893,110	66.32	426,557,765	140,764,063	140,764,063	93,354,626	N/A	
ALABAMA	182,608,033	0	182,608,033	0.00	162,796,978	73.05	222,856,917	0	0	0	N/A	
ALABAMA	521,946,524	207,234,618	729,181,142	28.42	505,738,153	62.03	815,312,192	231,713,221	207,234,618	128,547,634	N/A	
ALABAMA	73,560,000	0	73,560,000	0.00	49,372,853	63.10	78,245,409	0	0	0	N/A	
ALABAMA	92,675,916	94,753,948	187,429,864	33.00	170,908,561	50.00	341,817,122	112,799,650	94,753,948	47,376,974	N/A	
ALABAMA	736,742,539	357,370,461	1,094,113,000	32.66	687,216,752	50.00	1,374,433,504	448,931,632	357,370,461	178,685,231	N/A	
ALABAMA	2,418,869,368	605,000,000	3,023,869,368	20.01	1,714,705,875	50.00	3,429,411,750	686,138,803	605,000,000	302,500,000	N/A	
ALABAMA	193,201,966	236,072,627	429,274,593	33.00	314,918,744	65.78	478,745,430	157,985,992	157,985,992	103,923,186	N/A	
ALABAMA	535,731,956	93,432,758	629,164,714	14.85	433,680,475	63.02	688,163,242	102,194,208	93,432,758	58,881,324	N/A	
ALABAMA	388,207,319	579,199,682	967,407,001	33.00	599,146,255	53.52	1,119,481,044	369,428,745	369,428,745	197,718,264	N/A	
ALABAMA	108,503,167	2,397,833	110,901,000	2.16	69,388,876	50.11	138,473,111	2,993,980	2,397,833	1,201,554	N/A	
ALABAMA	366,681,364	72,076,341	438,757,705	16.43	349,613,182	70.57	495,413,323	81,383,368	72,076,341	50,864,274	N/A	
ALABAMA	0	0	0	0.00	0	65.29	0	0	0	0	N/A	
ALABAMA	1,220,515,401	292,513,592	1,513,028,993	19.33	1,020,817,117	58.69	1,739,337,395	336,265,750	292,513,592	171,676,227	N/A	
ALABAMA	19,979,252	9,071,297	29,050,549	31.23	24,019,227	56.76	42,317,172	13,213,920	9,071,297	5,148,868	N/A	
ALABAMA	129,313,480	7,770,268	137,083,748	5.67	93,522,940	50.00	187,045,880	10,602,253	7,770,268	3,885,134	N/A	
ALABAMA	171,725,815	163,836,435	335,562,250	33.00	197,491,416	50.00	394,982,832	130,344,335	130,344,335	65,172,167	N/A	
ALABAMA	66,962,606	18,887,045	85,849,651	22.00	72,057,679	71.09	101,361,203	22,299,608	18,887,045	13,426,800	N/A	
TOTAL	13,402,460,846	4,118,758,904	17,521,219,750	11,140,511,397	19,847,024,163	3,779,602,867	3,439,738,238	1,931,100,088	

LOW DSH STATES

STATE	A	B	C	D	E	F	G	H	I	J	K	L
	Inpatient hospital services FY 95 DSH total computable	IMD and mental health services FY 95 DSH total computable	Total inpatient & IMD & mental health FY 95 DSH total computable Col B + C	Applicable percent Col C/D	FY 2014 allotment in FS	FY 2014 FMA Ps (%)	FY 2014 allotments in col F/G	Applicable percentage applied to FY 2013 allotments in TC Col E x Col H	FY 2014 TC IMD limit (lessor of Col I or Col C)	FY 2014 IMD limit in FS Col G x J	MMA low DSH Status	
ALASKA	2,506,827	17,611,765	20,118,592	33.00	21,745,078	50.00	43,490,156	14,351,751	14,351,751	7,175,876	LOW DSH	
ALASKA	2,422,649	819,351	3,242,000	25.27	46,050,497	70.10	65,692,578	16,602,492	819,351	574,365	LOW DSH	
ALASKA	0	7,069,000	7,069,000	33.00	9,664,479	55.31	17,473,294	5,766,187	5,766,187	3,189,278	LOW DSH	
ALASKA	0	0	0	0.00	10,403,840	51.85	20,065,265	0	0	0	LOW DSH	
ALASKA	2,081,429	0	2,081,429	0.00	17,547,381	71.64	24,493,832	0	0	0	LOW DSH	
ALASKA	12,011,250	0	12,011,250	0.00	42,040,199	57.93	72,570,687	0	0	0	LOW DSH	
ALASKA	24,240,000	5,257,214	29,497,214	17.82	79,731,955	50.00	159,463,910	28,420,850	5,257,214	2,628,607	LOW DSH	
ALASKA	237,048	0	237,048	0.00	12,117,193	66.33	18,268,043	0	0	0	LOW DSH	
ALASKA	6,449,102	1,811,337	8,260,439	21.93	30,208,951	54.74	55,186,246	12,101,159	1,811,337	991,526	LOW DSH	
ALASKA	6,490,015	254,786	6,744,801	3.78	21,745,078	69.20	31,423,523	1,187,029	254,786	176,312	LOW DSH	
ALASKA	214,523	988,478	1,203,001	33.00	10,196,942	50.00	20,393,884	6,729,982	988,478	494,239	LOW DSH	
ALASKA	20,019,969	3,273,248	23,293,217	14.05	38,657,915	64.02	60,384,122	8,485,398	3,273,248	2,095,533	LOW DSH	
ALASKA	11,437,908	19,975,092	31,413,000	33.00	48,322,397	63.14	76,532,146	25,255,608	19,975,092	12,612,273	LOW DSH	
ALASKA	321,120	751,299	1,072,419	33.00	11,790,395	53.54	22,021,657	7,267,147	751,299	402,245	LOW DSH	
ALASKA	3,621,116	934,586	4,555,702	20.51	20,942,613	70.34	29,773,405	6,107,908	934,586	657,388	LOW DSH	

WISCONSIN	6,609,524	4,492,011	11,101,535	33.00	100,915,788	59.06	170,869,942	56,387,081	4,492,011	2,652,982	LOW DSH
WYOMING	0	0	0	0.00	241,612	50.00	483,224	0	0	0	LOW DSH
TOTAL LOW DSH STATES	98,662,480	63,238,167	161,900,647	522,322,313	888,585,914	188,662,592	58,675,341	33,650,624
TOTAL	13,501,123,326	4,181,997,071	17,683,120,397	11,662,833,710	20,735,610,077	3,968,265,459	3,498,413,578	1,964,750,712

KEY TO ADDENDUM 4—PRELIMINARY IMD DSH LIMITS FOR FY 2016

[The preliminary FY 2016 IMD DSH Limits for the Non-Low DSH States are presented in the top section of this addendum and the preliminary FY 2016 IMD DSH Limits for the Low-DSH States are presented in the bottom section of the addendum]

Column	Description
Column A	State.
Column B	Inpatient Hospital Services FY 95 DSH Total Computable. This column contains the States' total computable FY 1995 inpatient hospital DSH expenditures as reported on the Form CMS-64.
Column C	IMD and Mental Health Services FY 95 DSH Total. Computable. This column contains the total computable FY 1995 mental health facility DSH expenditures as reported on the Form CMS-64 as of January 1, 1997.
Column D	Total Inpatient Hospital & IMD & Mental Health FY 95 DSH Total. Computable, Col. B + C. This column contains the total computation of all inpatient hospital DSH expenditures and mental health facility DSH expenditures for FY 1995 as reported on the Form CMS-64 as of January 1, 1997 (representing the sum of Column B and Column C).
Column E	Applicable Percentage, Col. C/D. This column contains the "applicable percentage" representing the total Computable FY 1995 mental health facility DSH expenditures divided by total computable all inpatient hospital and mental health facility DSH expenditures for FY 1995 (the amount in Column C divided by the amount in Column D) Per section 1923(h)(2)(A)(ii)(III) of the Act, for FYs after FY 2002, the applicable percentage can be no greater than 33 percent.
Column F	FY 2016 Federal Share DSH Allotment. This column contains the states' preliminary FY 2016 DSH allotments from Column J Addendum 1.
Column G	FY 2016 FMAP.
Column H	FY 2016 DSH Allotments in Total Computable, Col. F/G. This column contains states' FY 2016 total computable DSH allotment (determined as Column F/Column G).
Column I	Applicable Percentage Applied to FY 2016 Allotments in TC, Col E × Col H. This column contains the applicable percentage of FY 2015 total computable DSH allotment (calculated as the percentage in Column E multiplied by the amount in Column H).
Column J	FY 2016 TC IMD DSH Limit. Lesser of Col. I or C. This column contains the total computable FY 2016 TC IMD DSH Limit equal to the lesser of the amount in Column I or Column C.
Column K	FY 2016 IMD DSH Limit in Federal Share, Col. G × J. This column contains the FY 2016 Federal Share IMD DSH limit determined by converting the total computable FY 2016 IMD DSH Limit from Column J into a federal share amount by multiplying it by the FY 2016 FMAP in Column G.

ADDENDUM 4—PRELIMINARY IMD DSH LIMIT FOR FISCAL YEAR: 2016

State	A	B	C	D	E	F	G	H	I	J	K	L
	Inpatient hospital services FY 95 DSH total computable	IMD and mental health services FY 95 DSH total computable	Total inpatient & IMD mental health FY 95 DSH total computable Col B + C	Applicable percent Col C/D	FY 2016 allotment in FS	FY 2016 FMFAs (%)	FY 2016 allotments in TC Col F/G	Applicable percentage applied to FY 2016 allotments in TC Col E x Col H	FY 2016 TC IMD limit (lesser of Col I or Col C)	FY 2016 IMD limit in FS Col G x J	MMA low DSH status	
ALABAMA	\$413,006,229	\$4,451,770	\$417,457,999	1.07	\$334,186,261	69.87	\$478,297,211	\$5,100,559	\$4,451,770	\$3,110,452	N/A	
ARIZONA	93,916,100	28,474,900	122,391,000	23.27	110,036,940	68.92	159,658,938	37,145,479	28,474,900	19,624,901	N/A	
CALIFORNIA	2,189,879,543	1,555,919	2,191,435,462	0.07	1,191,387,603	50.00	2,382,775,206	1,691,770	1,555,919	777,960	N/A	
COLORADO	173,900,441	594,776	174,495,217	0.34	100,527,574	50.72	198,201,053	675,579	594,776	301,670	N/A	
CONNECTICUT	303,359,275	105,573,725	408,933,000	25.82	217,356,916	50.00	434,713,832	112,229,530	105,573,725	52,786,863	N/A	
DISTRICT OF COLOMBIA												
FLORIDA	39,532,234	6,545,136	46,077,370	14.20	66,565,555	70.00	95,093,650	13,507,734	6,545,136	4,581,595	N/A	
GEORGIA	184,468,014	149,714,986	334,183,000	33.00	217,356,916	60.67	358,260,946	118,226,112	118,226,112	71,727,782	N/A	
ILLINOIS	407,343,557	0	407,343,557	0.00	292,073,358	67.55	432,380,989	0	0	0	N/A	
INDIANA	315,868,508	89,408,276	405,276,784	22.06	233,658,685	50.89	459,144,596	101,292,076	89,408,276	45,499,872	N/A	
KANSAS	79,960,783	153,566,302	233,527,085	33.00	232,300,206	66.60	348,799,108	115,103,706	115,103,706	76,659,068	N/A	
KENTUCKY	11,587,208	76,663,508	88,250,716	33.00	44,829,865	55.96	80,110,552	26,436,482	26,436,482	14,793,855	N/A	
LOUISIANA	158,804,908	37,443,073	196,247,981	19.08	157,588,765	70.32	224,095,229	42,756,180	37,443,073	26,329,969	N/A	
MAINE	1,078,512,169	132,917,149	1,211,429,318	10.97	745,902,374	62.21	1,199,007,192	131,554,202	131,554,202	81,839,869	N/A	
MARYLAND	99,957,958	60,958,342	160,916,300	33.00	114,112,381	62.67	182,084,540	60,087,898	60,087,898	37,657,086	N/A	
MASSACHUSETTS	22,226,467	120,873,531	143,099,998	33.00	82,867,324	50.00	165,734,648	54,692,434	54,692,434	27,346,217	N/A	
MICHIGAN	469,653,946	105,635,054	575,289,000	18.36	331,469,299	50.00	662,938,598	121,729,348	105,635,054	52,817,527	N/A	
MINNESOTA	133,258,800	304,765,552	438,024,352	33.00	287,997,917	65.60	439,021,215	144,877,001	144,877,001	95,039,313	N/A	
MISSISSIPPI	182,608,033	0	182,608,033	0.00	165,734,650	74.17	223,452,407	0	0	0	N/A	
MISSOURI	521,946,524	207,234,618	729,181,142	28.42	514,864,199	63.28	813,628,633	231,234,750	207,234,618	131,138,066	N/A	
NEVADA	73,560,000	0	73,560,000	0.00	50,263,786	64.93	77,412,269	0	0	0	N/A	
NEW HAMPSHIRE	92,675,916	94,753,948	187,429,864	33.00	173,992,607	50.00	347,985,214	114,835,121	94,753,948	47,376,974	N/A	
NEW JERSEY	736,742,539	357,370,461	1,094,113,000	32.66	699,617,579	50.00	1,399,235,158	457,032,604	357,370,461	178,685,231	N/A	
NEW YORK	2,418,669,368	605,000,000	3,023,669,368	20.01	1,745,647,742	50.00	3,491,295,484	698,520,178	605,000,000	302,500,000	N/A	
NORTH CAROLINA	193,201,966	236,072,627	429,274,593	33.00	320,601,454	66.24	483,999,780	159,719,927	105,798,480	105,798,480	N/A	
OHIO	535,731,956	93,432,758	629,164,714	14.85	441,506,239	62.47	706,749,222	104,954,279	93,432,758	58,367,444	N/A	
PENNSYLVANIA	388,207,319	579,199,682	967,407,001	33.00	609,957,849	52.01	1,172,770,331	387,014,209	387,014,209	201,286,090	N/A	
RHODE ISLAND	108,503,167	2,397,833	110,901,000	2.16	70,640,998	50.42	140,105,113	3,029,266	2,397,833	1,208,987	N/A	
SOUTH CAROLINA	366,681,364	72,076,341	438,757,705	16.43	355,921,952	71.08	500,734,316	82,257,467	72,076,341	51,231,863	N/A	
TENNESSEE	0	0	0	0.00	53,100,000	65.05	81,629,516	0	0	0	N/A	
TEXAS	1,220,515,401	292,513,592	1,513,028,993	19.33	1,039,237,762	57.13	1,819,075,375	351,681,478	292,513,592	167,113,015	N/A	
VERMONT	19,979,252	9,071,297	29,050,549	31.23	24,452,654	54.45	44,908,455	14,023,072	9,071,297	4,939,321	N/A	
VIRGINIA	129,313,480	7,770,268	137,083,748	5.67	95,210,561	50.00	190,421,122	10,793,571	7,770,268	3,885,134	N/A	
WASHINGTON	171,725,815	163,836,435	335,562,250	33.00	201,055,149	50.00	402,110,298	132,696,398	163,836,435	66,348,199	N/A	
WEST VIRGINIA	66,962,606	18,887,045	85,849,651	22.00	73,357,960	71.42	102,713,470	22,597,109	18,887,045	13,489,128	N/A	
TOTAL	13,402,460,846	4,118,758,904	17,521,219,750	11,395,376,080	20,298,543,664	3,857,495,519	3,470,599,160	1,944,261,931	

LOW DSH STATES												
	A	B	C	D	E	F	G	H	I	J	K	L
ALASKA	2,506,827	17,611,765	20,118,592	33.00	22,137,469	50.00	44,274,938	14,610,730	14,610,730	7,305,365	LOW DSH	
ARKANSAS	2,422,649	819,351	3,242,000	25.27	46,881,478	70.00	66,973,540	16,926,230	819,351	573,546	LOW DSH	
DELAWARE	0	7,069,000	7,069,000	33.00	9,838,873	54.83	17,944,324	5,921,627	5,921,627	3,246,828	LOW DSH	
HAWAII	0	0	0	0.00	10,591,578	53.98	19,621,300	0	0	0	LOW DSH	
IDAHO	2,081,429	0	2,081,429	0.00	17,864,024	71.24	25,075,834	0	0	0	LOW DSH	
IOWA	12,011,250	0	12,011,250	0.00	42,798,814	54.91	77,943,569	0	0	0	LOW DSH	
MINNESOTA	24,240,000	5,257,214	29,497,214	17.82	81,170,719	50.00	162,341,438	28,933,705	5,257,214	2,628,607	LOW DSH	
MONTANA	237,048	0	237,048	0.00	12,335,848	65.24	18,908,412	0	0	0	LOW DSH	
NEBRASKA	6,449,102	1,811,337	8,260,439	21.93	30,754,070	51.16	60,113,507	13,181,602	1,811,337	926,680	LOW DSH	
NEW MEXICO	6,490,015	254,786	6,744,801	3.78	22,137,469	70.37	31,458,674	1,188,357	254,786	179,293	LOW DSH	
NORTH DAKOTA	214,523	988,478	1,203,001	33.00	20,761,890	50.00	20,761,890	6,851,424	988,478	494,239	LOW DSH	
OKLAHOMA	20,019,969	3,273,248	23,293,217	14.05	39,355,497	60.99	64,527,787	9,067,680	3,273,248	1,996,354	LOW DSH	
OREGON	11,437,968	19,975,092	31,413,060	33.00	49,194,374	64.38	76,412,510	25,216,128	19,975,092	12,859,964	LOW DSH	
SOUTH DAKOTA	321,120	751,299	1,072,419	33.00	12,003,153	51.61	23,257,417	7,674,948	751,299	387,745	LOW DSH	
UTAH	3,621,116	934,586	4,555,702	20.51	21,320,522	70.24	30,353,818	6,226,977	934,586	656,453	LOW DSH	

ADDENDUM 4—PRELIMINARY IMD DSH LIMIT FOR FISCAL YEAR: 2016—Continued

State	A	B	C	D	E	F	G	H	I	J	K	L
	Inpatient hospital services FY 95 DSH total computable	IMD and mental health services FY 95 DSH total computable	Total inpatient & IMD & mental health FY 95 DSH total computable Col B + C	Applicable percent Col C/D	FY 2016 allotment in FS	FY 2016 FMAPs (%)	FY 2016 allotments in TC Col F/G	Applicable percentage applied to FY 2016 allotments in TC Col E x Col H	FY 2016 TC IMD limit (lesser of Col I or Col C)	FY 2016 IMD limit in FS Col G x J	MMA low DSH status	
WISCONSIN	6,609,524	4,492,011	11,101,535	33.00	102,736,813	58.23	176,432,789	58,222,820	4,492,011	2,615,698	LOW DSH	
WYOMING	0	0	0	0.00	245,972	50.00	491,944	0	0	0	LOW DSH	
TOTAL LOW DSH STATES	98,662,480	63,238,167	161,900,647		531,747,618		916,893,692	194,022,228	59,089,759	33,870,772		
TOTAL	13,501,123,326	4,181,997,071	17,683,120,397		11,927,123,698		21,215,437,356	4,051,517,746	3,529,688,919	1,978,132,703		

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DEPARTMENT OF HEALTH AND HUMAN SERVICES**Administration for Children and Families****Submission for OMB Review; Comment Request**

Title: National and Tribal Evaluation of the 2nd Generation of the Health Profession Opportunity Grants.

OMB No.: 0970-0462.

Description: The Administration for Children and Families (ACF), U.S. Department of Health and Human Services (HHS) is proposing data collection activities as part of the Health Profession Opportunity Grants (HPOG) Program. ACF has developed a multi-pronged research and evaluation approach for the HPOG program to better understand and assess the activities conducted and their results. Two rounds of HPOG grants have been awarded—the first in 2010 (HPOG 1.0) and the second in 2015 (HPOG 2.0). There are federal evaluations associated with each round of grants. HPOG grants provide funding to government agencies, community-based organizations, post-secondary educational institutions, and tribal-affiliated organizations to provide education and training services to Temporary Assistance for Needy Families (TANF) recipients and other low-income individuals. Under HPOG 2.0, ACF awarded grants to five tribal-affiliated organizations and 27 non-tribal entities. The proposed data collection activities described in this notice will provide data for the implementation studies of the National and Tribal Evaluation of the 2nd Generation of the Health Profession Opportunity Grants (*i.e.*, the HPOG 2.0 National Evaluation and the HPOG 2.0 Tribal Evaluation) as well as the impact study for the HPOG 2.0 National Evaluation. OMB previously approved baseline data collection and informed consent forms for the HPOG 2.0 Evaluations under OMB Control Number 0970-0462. The design for the HPOG 2.0 National Evaluation features an implementation study, cost benefit study, and impact study. This information collection clearance request pertains to the implementation study and impact study.

The goal of the HPOG 2.0 National Evaluation Implementation Study is to describe and assess the implementation, systems change, outcomes and other important information about the operations of the 27 non-tribal HPOG grantees, which are operating 38 distinct programs. To achieve these goals, it is necessary to collect data about the non-tribal HPOG program designs and implementation, HPOG partner and program networks, the composition and intensity of HPOG services received, participant characteristics and HPOG experiences, and participant outputs and outcomes.

The goal of the HPOG 2.0 National Evaluation Impact Study is to measure and analyze key participant outcomes and impacts including completion of education and training, receipt of certificates and/or degrees, earnings, and employment in a healthcare career.

The goal of the HPOG 2.0 Tribal Evaluation is to conduct a comprehensive implementation and outcome evaluation of the five Tribal HPOG 2.0 grantee programs. The evaluation will identify and assess how programmatic health profession training operations are working; determine differences in approaches being used when programs are serving different sub-populations, including participants with different characteristics and skill levels; and identify programs and practices that are successful in supporting the target population to achieve portable industry-recognized certificates or degrees as well as employment-related outcomes.

The information collection activities to be submitted in the request package include: (1) *Screening Interview to identify respondents for the HPOG 2.0 National Evaluation first-round telephone interviews.* (2) *HPOG 2.0 National Evaluation first-round telephone interviews with management and staff.* These interviews will collect information about the HPOG program context and about program administration, activities and services, partner and stakeholder roles and networks, and respondent perceptions of the program's strengths. (3) *HPOG 2.0 National Evaluation in-person implementation interviews with HPOG personnel* will collect information from six HPOG 2.0 programs with promising approaches to the topic areas of specific interest to ACF. (4) *HPOG 2.0 National Evaluation participant contact update forms* will collect updated participant contact information for impact study

participants (treatment and control) every 3 months, during the three year follow-up period. (5) *HPOG 2.0 Tribal Evaluation grantee and partner administrative staff interviews* will collect information on high-level program strategies, partnerships in place to implement the Tribal HPOG 2.0 program, program development and lessons learned. (6) *HPOG 2.0 Tribal Evaluation program implementation staff interviews* will collect information from instructors, trainers, recruitment and orientation staff, and providers of program or supportive services on Tribal HPOG 2.0 program processes including recruitment, screening, orientation, provision of supportive services, and program implementation. (7) *HPOG 2.0 Tribal Evaluation employer interviews* will collect information from local or regional employers that are partnering with Tribal HPOG 2.0 programs or have employed participants, and collect information on employers' impressions of the Tribal HPOG 2.0 program and program graduates. (8) *HPOG 2.0 Tribal Evaluation program participant focus groups* will collect information on participants' perceptions, experience, outcomes and satisfaction with the Tribal HPOG 2.0 program. (9) *HPOG 2.0 Tribal Evaluation program participant completer interviews* will collect information on the current employment status of the participants who completed a training program and their perceptions of and satisfaction with the Tribal HPOG 2.0 program. (10) *HPOG 2.0 Tribal Evaluation program participant non-completer interviews* will collect information on reasons participants left the program, short-term outcomes, how they feel the program could be improved, and any plans for future academic training.

Respondents: For the HPOG 2.0 National Evaluation: HPOG program managers; HPOG program staff; and representatives of partner agencies and stakeholders, including support service providers, education and vocational training providers, Workforce Investment Boards, TANF agencies, and participants at the 27 non-tribal HPOG 2.0 grantees. For the HPOG 2.0 Tribal Evaluation: Tribal HPOG 2.0 program staff; administrative staff at grantee institutions; representatives from partner agencies and stakeholders, including local employers; and Tribal HPOG program participants at the five Tribal HPOG 2.0 grantees.